

Securities (Registration, Licensing and Corporate Governance)
(Amendment) Rules, 2021 (No. 2)

	ZWL\$
(d) securities (client liaison) licence	23 000,00
(e) securities (transfer) licence:	69 000,00
(f) securities (trustee) licence:	110 000,00
(g) securities (custody) licence:	195 000,00
(h) securities (investment advisers) licence	46 000,00
(i) securities (investment management) licence	138 000,00
(j) securities (multiple) licence:	184 000,00
7. Central securities depository—	
(a) approval of scheme for establishment of central securities depository	414 000,00
(b) the annual fee payable by central securities depository	230 000,00 ¹ .
3. The Securities (Registration, Licensing and Corporate Governance) (Amendment) Rules, 2019 (No. 1), published in Statutory Instrument 267 of 2019, are repealed.	

Statutory Instrument 155 of 2021.

[CAP. 24:25

Securities (Registration, Licensing and Corporate Governance)
(Amendment) Rules, 2021 (No. 2)

IT is hereby notified that the Minister of Finance and Economic Development has, in terms of section 118(6) of the Securities and Exchange Act [*Chapter 24:25*], approved the following rules made by the Securities and Exchange Commission:—

1. These rules may be cited as the Securities (Registration, Licensing and Corporate Governance) (Amendment) Rules, 2021 (No. 2).

2. The Securities (Registration, Licensing and Corporate Governance) Rules, 2010, published in Statutory Instrument 100 of 2010, are amended in the Second Schedule, Part I by the repeal of paragraphs 1 to 7 and the substitution of the following—

“PART I

FEEES

	ZWL\$
1. Initial registration of a securities exchange	414 000,00
2. Annual registration fee for securities exchange	23 0 000,00
3. Replacement of certificate of registration or licence	2 300,00
4. Notification of change of name, address or prescribed particulars of holder of licence	2 300,00
5. Application for—	
(a) securities (dealing firm)	230 000,00
(b) securities (dealer) licence	75 000,00
(c) securities (authorised dealer) licence	75 000,00
(d) securities (client liaison) licence	41 042,00
(e) securities (transfer) licence	82 800,00
(f) securities (trustee) licence	300 000,00
(g) securities (custody) licence	336 000,00
(h) securities (investment advisers) licence	75 000,00
(i) securities (investment management) licence	300 000,00
(j) securities (multiple) licence	414 000,00
6. Renewal of—	
(a) securities (dealing firm) licence	120 000,00
(b) securities (dealer) licence	25 000,00
(c) securities (authorised dealer) licence	20 000,00

¹Supplement to the Zimbabwean Government Gazette dated the 11th June, 2021.

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